

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor : Kuansan Wang

Appln. No.: 09/960,229

Filed : September 20, 2001

For : SERVERS FOR WEB ENABLED SPEECH
RECOGNITION

Docket No.: M61.12-0391

Group Art Unit:2162

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
COMMISSIONER FOR PATENTS, P.O. BOX 1450,
ALEXANDRIA, VA 22313-1450, THIS

2 DAY OF June, 20 06

PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

TIME OF FILING

An information disclosure statement is being filed by the applicant within any one of the following time periods:

1. ☐ 1. Within three months of the filing date of a national application other than a Continued Prosecution Application (CPA);
2. ☐ 2. Within three months of the date of entry of the National Stage international application;
3. ☐ 3. Before the mailing date of a first Office Action on the merits, or
4. ☐ 4. Before the mailing of a first Office Action after the filing of a Request for Continue Examination (RCE).

2. X after the time period specified¹¹ in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. a statement as specified in 37 C.F.R. § 1.97(e).
B. X the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).

METHOD OF PAYMENT

 No fee is required.

 X Attached is Credit Card Payment Form in the amount of \$180.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

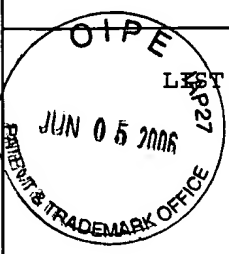
Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: 

Steven M. Koehler, Reg. No. 36,188
900 Second Avenue South, Suite 1400
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222 Fax: (612) 334-3312

SMK:dkm

FORM PTO-1449		Atty. Docket No.: M61.12-0391	Appl. No.: 09/960,229
 <p>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p>		First Named Inventor:	
		Huyen Vo	
		Filing Date September 20, 2001	Group Art: 2655

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	5,303,327	4/1994	Sturner et al.	704	270	
AB	5,559,897	9/1996	Brown et al.	382	186	
AC	5,566,272	10/1996	Brems et al.	704	231	
AD	5,664,061	9/1997	Andreshak et al.	704	275	
AE	5,737,489	4/1998	Chou et al.	704	256	
AF	5,774,628	6/1998	Hemphill, Charles T.	704	255	
AG	5,890,123	3/1999	Brown et al.	704	270.1	
AH	5,960,399	9/1999	Barclay et al.	704	270.1	
AI	6,078,886	6/2000	Dragosh et al.	704	270	
AJ	6,101,472	8/2000	Giangarra et al.	704	275	
AK	6,192,338	2/2001	Haszto et al.	704	257	
AL	6,203,495	3/2001	Bardy, Gust H.	600	301	
AM	6,240,391	5/2001	Ball et al.	704	270	
AN	6,243,443	6/2001	Low et al.	379	88.17	
AO	6,349,132	2/2002	Wesemann et al.	379	88.17	
AP	6,384,829	5/2002	Prevost et al.	345	473	
AQ	6,385,583	5/2002	Ladd et al.	704	270	
AR	6,405,170	6/2002	Phillips et al.	704	270	
AS	6,453,290	9/2002	Jochumson, Christopher S.	704	231	
AT	6,456,974	9/2002	Baker et al.	704	270.1	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449		Atty. Docket No.: M61.12-0391	Appl. No.: 09/960,229
<p align="center">LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p>		First Named Inventor:	
		Huyen Vo	
		Filing Date	Group Art:
		September 20, 2001	2655

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AU	6,463,413	10/2002	Applebaum et al.	704	256.2	
AV	6,526,380	2/2003	Thelen et al.	704	251	
AW	6,587,822	7/2003	Brown et al.	704	275	
AX	6,604,075	8/2003	Brown et al.	704	270.1	
AY	6,662,163	12/2003	Albayrak et al.	704	275	
AZ	6,718,015	4/2004	Berstis, Viktors	379	88.17	
BA	6,745,163	6/2004	Brocious et al.	704	260	
BB	6,757,655	6/2004	Besling et al.	704	270.1	
BC	2001/0034603	10/2001	Thrift et al.	704	270.1	
BD	2002/0003547	1/2002	Wang et al.	345	727	
BE	2002/0031756	3/2002	Holtz et al.	434	362	
BF	2002/0065652	5/2002	Kushida et al.	704	231	
BG	2002/0077823	6/2002	Fox et al.	704	260	
BH	2002/0091518	7/2002	Baruch et al.	704	231	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

BI	SCRIPT-Client-side Script, Web Design Group, May 13 th , 1998
BJ	XML and XSL from servers to cell-phones, Ramin Firoozye and Ranbir Chawla, September 2 nd , 1998
BK	Hemphill C.T. and Thrift P.R.: "Surfing the WEB by Voice" Proceedings ACM Multimedia, November 1995 (1995-11), pages 215-222.
BL	Telephones, "http://www.fusionanomaly.net/telephones.html", 10/30/2000

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449		Atty. Docket No.: M61.12-0391	Appl. No.: 09/960,229
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		First Named Inventor:	
		Huyen Vo	
		Filing Date	Group Art:
		September 20, 2001	2655

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
BM	2002/0107891	8/2002	Leamon et al.	707	513	
BN	2002/0128845	9/2002	Thomas et al.	704	270.1	
BO	2002/0173961	11/2002	Guerra, Lisa M.	704	258	
BP	2003/0125944	7/2003	Wohlsen et al.	704	246	

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
BQ	EP0854418A2	1/1998				
BR	EP 1209662 A2	5/2002	European Patent			
BS	EP0872827	10/1998				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

BT	Mit et al., "Grammar Representation Requirements for Voice Markup Languages", W3C Working Draft, Dec. 23, 1999.
BU	SpeechObjects Specification V1.0, W3C Note, November 2000
BV	VoiceXML Forum, Version 1.00, dated March 7, 2000, copyright 2000
BW	Using the HTML Converter, Sun Microsystems, June 8, 2001
BX	Lucas, Bruce, "VoiceXML for Web-based Distributed Conversational Applications", Communications of the ACM, Vol.43, Issue 9, September 2000, pp. 53-57
BY	JavaServer Pages™ Technology-Frequently Asked Questions, Sun Microsystems, August 27, 1999

EXAMINER:	DATE CONSIDERED:
------------------	-------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.